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# NOTICE OF ALLOWANCE AND FEE(S) DUE

23405

7590

09/30/2009

HESLIN ROTHENBERG FARLEY & MESITI PC 5 COLUMBIA CIRCLE ALBANY, NY 12203

EXAMINER

HURLEY, SHAUN R

ART UNIT PAPER NUMBER

3765

DATE MAILED: 09/30/2009

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/564,948      | 06/30/2006  | Bernard Puaux        | 1759.215            | 4097             |

TITLE OF INVENTION: MACHINE FOR YARN CABLING/TWISTING AND CONTINUOUS SETTING

|   | APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|---|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| • | nonprovisional | NO           | \$1510        | \$300               | \$0                  | \$1810           | 12/30/2009 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

## Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

| maintenance fee notifica  | tions.   |  | rders and notification of r<br>a) specifying a new corres   |  |   |   |  |  |
|---|--|--|---|--|---|---|--|--|
| CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)  23405 7590 09/30/2009  HESLIN ROTHENBERG FARLEY & MESITI 5 COLUMBIA CIRCLE ALBANY, NY 12203 |  |  | Fee<br>pap  | (s) Transmittal. Thi<br>ers. Each additiona  | is certifi<br>1 paper,                              | cate cannot be used for   | domestic mailings of the rany other accompanying at or formal drawing, must  |  |
|   |  |  |   |  |   | of Mailing or Transı  | nission  |  |
|   |  |  | I he<br>Stat<br>add<br>tran   | reby certify that thes Postal Service we ressed to the Mail smitted to the USP                             | is Fee(s<br>vith suff<br>l Stop 1<br>TO (571        | ) Transmittal is being<br>icient postage for firs<br>ISSUE FEE address<br>1) 273-2885, on the da                              | deposited with the United<br>class mail in an envelope<br>above, or being facsimile<br>te indicated below.                           |  |
|   |  |  |   |  |   |   | (Depositor's name)   |  |
|   |  |  |   |  |   |   | (Signature)  |  |
|   |  |  |   |  |   |   | (Date)   |  |
| APPLICATION NO.   | FILING DATE  |  | FIRST NAMED INVENTOR  |  | ATTO  | RNEY DOCKET NO.   | CONFIRMATION NO.   |  |
| 10/564,948  | 06/30/2006   |  | Bernard Puaux   |  | •   | 1759.215  | 4097   |  |
| TITLE OF INVENTION  | : MACHINE FOR YAR  | N CABLING/TWISTING   | G AND CONTINUOUS SI   | ETTING   |   |   |  |  |
|   |  |  |   |  |   |   |  |  |
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| APPLN. TYPE   | SMALL ENTITY   | ISSUE FEE DUE  | PUBLICATION FEE DUE   | PREV. PAID ISSU  | E FEE   | TOTAL FEE(S) DUE  | DATE DUE   |  |
| nonprovisional  | NO   | \$1510   | \$300   | \$0  |   | \$1810  | 12/30/2009   |  |
| EXAM  | IINER  | ART UNIT   | CLASS-SUBCLASS  | ]  |   |   |  |  |
| HURLEY,   | SHAUN R  | 3765   | 057-282000  | •  |   |   |  |  |
| 1. Change of correspond<br>CFR 1.363).  | ence address or indication   | n of "Fee Address" (37   |   | 2. For printing on the patent front page, list   |   |   |  |  |
| _   | ondence address (or Cha<br>B/122) attached.  | nge of Correspondence  | (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to |  |   |   |  |  |
| _   |  |  |   |  |   |   |  |  |
| ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Custome Number is required.                          |  |  | 2 registered patent attorneys or agents. If no name is listed, no name will be printed.   |  |   |   |  |  |
| 3. ASSIGNEE NAME A  | ND RESIDENCE DATA  | A TO BE PRINTED ON   | THE PATENT (print or ty)  | pe)  |   |   |  |  |
| PLEASE NOTE: Uni  | less an assignee is ident  | ified below, no assignee   | data will appear on the p<br>T a substitute for filing an   | atent. If an assign  | ee is id  | entified below, the do  | cument has been filed for  |  |
| (A) NAME OF ASSI  | •  | nedon of this form is tve  | (B) RESIDENCE: (CITY  | •  | COUNT   | RY)   |  |  |
| , ,   |  |  |   |  |   |   |  |  |
|   |  |  | _   |  |   |   | _  |  |
| Please check the appropr  | iate assignee category or  | categories (will not be p  | rinted on the patent):  | Individual 🖵 Co  | orporatio   | on or other private gro   | up entity  Government  |  |
| 4a. The following fee(s)  | are submitted:   | 4  | b. Payment of Fee(s): (Plea   | se first reapply a   | ny previ  | iously paid issue fee s   | hown above)  |  |
| ☐ Issue Fee☐ Publication Fee (No small entity discount permitted)   |  |  | ☐ A check is enclosed. ☐ Payment by credit card. Form PTO-2038 is attached.   |  |   |   |  |  |
| Advance Order - # of Copies   |  |  | The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any  |  |   |   |  |  |
|   |  |  | overpayment, to Depo  | sit Account Number   | er  | (enclose ar   | extra copy of this form).  |  |
| 5. Change in Entity Sta  a. Applicant claim   | <b>tus</b> (from status indicated<br>is SMALL ENTITY stati   | ,  | ☐ b. Applicant is no lon  | ger claiming SMAl  | LL ENT  | TTY status. See 37 CF   | R 1.27(g)(2).  |  |
| NOTE: The Issue Fee an interest as shown by the   | d Publication Fee (if requestroords of the United Sta  | uired) will not be accepte<br>tes Patent and Trademarl   | d from anyone other than t  |  |   |   |  |  |
| And ariand Simonton   |  |  |   | Dete   |   |   |  |  |
|   |  |  |   |  |   |   |  |  |
| Typed or printed name   |  |  | Registration No   |  |   |   |  |  |
| This collection of inform<br>an application. Confiden<br>submitting the complete<br>this form and/or suggest<br>Box 1450, Alexandria, V<br>Alexandria, Virginia 223       | tiality is governed by 35<br>d application form to the<br>ions for reducing this but<br>irginia 22313-1450. DC | EFR 1.311. The informati<br>U.S.C. 122 and 37 CFR<br>USPTO. Time will vary<br>rden, should be sent to the<br>ONOT SEND FEES OR | on is required to obtain or a 1.14. This collection is estanding upon the individence Chief Information Office COMPLETED FORMS TO   | etain a benefit by t<br>imated to take 12 r<br>idual case. Any co<br>er, U.S. Patent and<br>D THIS ADDRESS | he publi<br>minutes<br>omments<br>Tradem<br>S. SEND | ic which is to file (and<br>to complete, including<br>s on the amount of tin<br>ark Office, U.S. Depa<br>O TO: Commissioner f | by the USPTO to process) g gathering, preparing, and the you require to complete rtment of Commerce, P.O. or Patents, P.O. Box 1450, |  |

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|-----------------|-----------------------|----------------------|-------------------------|------------------|--|--|--|
| 10/564,948      | 06/30/2006            | Bernard Puaux        | 1759.215                | 4097             |  |  |  |
| 23405 75        | 23405 7590 09/30/2009 |                      |                         | EXAMINER         |  |  |  |
| HESLIN ROTHE    | ENBERG FARLEY &       | HURLEY, SHAUN R      |                         |                  |  |  |  |
|                 | 5 COLUMBIA CIRCLE     |                      |                         | PAPER NUMBER     |  |  |  |
| ALBANY, NY 122  | 2203                  |                      | 3765                    |                  |  |  |  |
|                 |                       |                      | DATE MAILED; 09/30/2009 |                  |  |  |  |

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 617 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 617 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

|  | Application No.  | Applicant(s)   |  |  |  |
|--|--|--|--|--|--|
|  | 10/564,948   | PUAUX, BERNARD   |  |  |  |
| Notice of Allowability   | Examiner   | Art Unit   |  |  |  |
|  | Shaun R. <b>H</b> urley  | 3765   |  |  |  |
| The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI   | (OR REMAINS) CLOSED in this app<br>or other appropriate communication<br>GHTS. This application is subject to                  | olication. If not included will be mailed in due course. <b>THIS</b> |  |  |  |
| 1. This communication is responsive to <u>Amendment filed 30.</u>  | <i>luly 2009</i> .   |  |  |  |  |
| 2. The allowed claim(s) is/are 1,2 and 4-14.   |  |  |  |  |  |
| <ol> <li>Acknowledgment is made of a claim for foreign priority ur         <ul> <li>All b)</li></ul></li></ol>   | been received.  been received in Application No cuments have been received in this r  of this communication to file a reply of | national stage application from the                                  |  |  |  |
| THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.  4.  A SUBSTITUTE OATH OR DECLARATION must be subm  |  | S AMENDMENT or NOTICE OF   |  |  |  |
| INFORMAL PATENT APPLICATION (PTO-152) which give   |  |  |  |  |  |
| <ul> <li>5. CORRECTED DRAWINGS ( as "replacement sheets") must be submitted.</li> <li>(a) including changes required by the Notice of Draftsperson's Patent Drawing Review ( PTO-948) attached</li> <li>1) hereto or 2) to Paper No./Mail Date</li> <li>(b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date</li> <li>Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).</li> </ul> |  |  |  |  |  |
| DEPOSIT OF and/or INFORMATION about the depo<br>attached Examiner's comment regarding REQUIREMENT  |  |  |  |  |  |
| Attachment(s) 1. ☐ Notice of References Cited (PTO-892)  | 5. ☐ Notice of Informal Pa   | atent Application  |  |  |  |
| 2. Notice of Draftperson's Patent Drawing Review (PTO-948)   | 6.  ☐ Interview Summary<br>Paper No./Mail Dat  | (PTO-413),<br>e  |  |  |  |
| 3. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date  | 7. 🛛 Examiner's Amendn   | nent/Comment   |  |  |  |
| Examiner's Comment Regarding Requirement for Deposit of Biological Material  | <ul><li>8. ☐ Examiner's Stateme</li><li>9. ☐ Other</li></ul>   | nt of Reasons for Allowance  |  |  |  |
|  |  |  |  |  |  |

Application/Control Number: 10/564,948 Page 2

Art Unit: 3765

## **DETAILED ACTION**

### **EXAMINER'S AMENDMENT**

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

The application has been amended as follows:

#### In the Claims:

Claim 4, line 1: **DELETED:** "Claim 3"

INSERTED: --Claim 1--

## Allowable Subject Matter

- 2. Claims 1, 2, and 4-14 are allowed.
- 3. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Shaun R. Hurley whose telephone number is (571) 272-4986. The examiner can normally be reached on Mon Fri, 8:00 am 4:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gary Welch can be reached on (571) 272-4996. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent

Art Unit: 3765

Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR

applications is available through Private PAIR only. For more information about the PAIR

system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would

like assistance from a USPTO Customer Service Representative or access to the automated

information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Shaun R Hurley Primary Examiner Art Unit 3765

SRH 24 September 2009

/Shaun R Hurley/ Primary Examiner, Art Unit 3765